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408 773 9550;

Attorney Docket No.: 18158-013210US Client Reference No.: VX-1082 & VX-113

## **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: GENERATING SCANNING SPOT LOCATIONS FOR LASER EYE SURGERY the specification of which was filed on <u>March 13</u>, 2001 as Application No. 09/805,737.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/189,633	03/14/00

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Chun-Pok Leung, Reg. No. 41,405 Mark D. Barrish, Reg. No. 36,443

Send Correspondence to:	Direct Telephone Calls to:	
Chun-Pok Leung	(Name, Reg. No., Telephone No.)	
TOWNSEND and TOWNSEND and CREW LLP	Name: Chun-Pok Leung	
Two Embarcadero Center, 8th Floor	Reg. No.: 41,405	
San Francisco, California 94111-3834	Telephone: 650-326-2400	

Full Name of Inventor 1:	Last Name: YEE	First Name  KINGMAN	Middle Name or Initial:	
Residence & Citizenship:	City: Sunnyvale	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 1081 W. Olive Avenue, #4	City: Sunnyvale	State/Country: California	Postal Code: 94086
Full Name of Inventor 2:	Last Name: GROSS	First Name: ERIK	Middle Nume or Initial:	
Residence & Citizenship:	City: San Jose	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 4240 Albany Drive, #6-305	City: San Jose	State/Country: California	Postal Code: 95129

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Sent by: VISX-LEGAL

408 773 9550;

Att mey Docket No.: 18158-013210US Client Reference No.: VX-1082 & VX-113

I further declare that all statements made herein f my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor I	Signature of Inventor 2	
Knie L	Eril Sr	
Kingman Yee	Erik Gross	
Date 9/7/01	Date 9/7/01	

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NOVEMBER 15, 2001

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TOWNSEND AND TOWNSEND AND CREW LLP CHUN-POK LEUNG TWO EMBARCADERO CENTER, 8TH FLOOR SAN FRANCISCO, CALIFORNIA 94111-3834 Chief Financial Officer and Chief Administrative Officer Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/10/2001

REEL/FRAME: 012152/0357

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YEE, KINGMAN

DOC DATE: 09/07/2001

ASSIGNOR:

GROSS, ERIK

DOC DATE: 09/07/2001

ASSIGNEE:

VISX, INC.

3400 CENTRAL EXPRESSWAY

SANTA CLARA, CALIFORNIA 95051-0703

SERIAL NUMBER: 09805737

PATENT NUMBER:

FILING DATE: 03/13/2001

ISSUE DATE:

SHAREILL COLES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Form PTO-1595 U.S. Department of Commerce et: (Rev. 03-01) U.S. Patent and Trademark Office OMB No. 0651-0027 (exp. 5/31/2002) 101844819 To the Honorable Commissioner or Patents and Trademarks. Please record the attached original documents or copy thereof 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Kingman Yee Name: VISX, Inc. Erik Gross Street Address: 3400 Central Expressway Additional name(s) of conveying parties attached? 

☐ Yes ☐ No. 3. Nature of conveyance: City: Santa Clara State: CA Merger Additional names and addresses attached? ☐ Yes ☑ No ☐ Security Agreement ☐ Change of Name ☐ Other: Execution Date: September 7, 2001 and September 7, 2001 4. Application number(s) or patent number(s). If this document is being filed together with a new application, the execution date of the application is: Patent Application No(s): 09/805,737; Filed March 13, Patent No(s): 2001 Additional numbers attached? 

Yes 

No Name and address of party to whom correspondence 6. Total number of applications and patents involved 1 concerning document should be mailed: Chun-Pok Leung 7. Total fee (37 CFR 3.41): -----TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor ☐ Enclosed San Francisco, California 94111-3834 (415) 576-0200 Authorized to be charged to deposit account 8. Deposit account number: 20-1430 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Total number of pages including cover sheet, attachments and document

Mail documents to be recorded with required cover to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231

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Chun-Pok Leung

Name of Person Signing Atty. Reg. No. 41,405

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40.00 CH

Attorney Docket No.: 18158-013210US Client Reference No.: VX-1082 & VX-113

## ASSIGNMENT OF PATENT APPLICATION

THIOL

WHEREAS, Kingman Yee, of 1081 W. Olive Avenue, #4, Sunnyvale, CA 94086; Erik Gross, of 4240 Albany Drive, #6-305, San Jose, CA 95129; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

GENERATING SCANNING SPOT LOCATIONS FOR

LASER EYE SURGERY

Date(s) of execution of Declaration:

Filing Date:

March 13, 2001

Application No.:

09/805,737; and

WHEREAS, VISX, Inc., a corporation of the state of Delaware, located at 3400 Central Expressway, Santa Clara, CA, 95051-0703, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 7/7/0

Dated:  $\frac{9}{7}$ 

Kangman Yee

Erik Gross